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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 62945 (70820) DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2003/010543 21 August 2003 22 August 2002 TITLE OF INVENTION **OPTICAL COMMUNICATION SYSTEM** APPLICANT(S) FOR DO/EO/US Yorishige Ishii et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 6. x a. is attached hereto. h has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. х An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: First Page of Int'l Application WO 2004/019099 A1 as published and Int'l Search

Report; PCT/IB/301, 304, 308; and Return Receipt Postcard

PTO-1390 (Rev. 12-2004)
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U.S. APPUCATION OF THE PROPERTY CER 153 7					INTERNATIONAL APPLICATION NO. PCT/JP2003/010543			ATTORNEY'S DOCKET NUMBER 62945 (70820)		
21. The following fees are submitted:								Appl	icant Use	Office Use Only
x a) Basic national fee						\$3	00.00	\$	300.00	
x b) Examination fee\$200.00								\$	200.00	
x c) Search fee\$500.00								\$	500.00.	
- TOTAL OF ABOVE CALCULATIONS = \$1000.00								\$	1,000.00-	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof:										
			er of each additional 50 or fraction eof (round up to a whole number RATE							
59 - 100	59 - 100 = /50 =			x \$250.00						
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).										
CLAIN		NUMBER FILED		NUMBER EXTRA R			ATE			· · · · · · · · · · · · · · · · · · ·
Total cla		20 - 20 =				x	200.00	\$		
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WIOCITI EE E	/LI LINDE	LITT OLIVINGS	(п аррас		TAL OF ABOVE	1	ATIONS =	\$	1,200.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.										
SUBTOTAL = \$									1,200.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months										
from the earliest claimed priority date (37 GFR 1.492 (t)).										
TOTAL NATIONAL FEE = \$ 1,200.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be \$ 40.00										
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$40.00 TOTAL FEES ENCLOSED = \$ 1,240.00										
Amount to be refunded: \$										
Amount to be retuited: Amount to be charged:										\$
a. A check in the amount of \$ to cover the above fees is enclosed.										
b. X Please charge my Deposit Account No. 04-1105 in the amount of \$ 1,240.00 to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any										
overpayment to Deposit Account No. <u>04-1105</u> . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:										
Steven M. Jensen SIGNATURE:										
EDWARDS & ANGELL, LLP Steven M. Jensen P.O. Box 55874 NAME										
Boston, Massachusetts 02205										
(617) 439-4444 42,693 CLISTOMER NUMBER: 21874 REGISTRATION NUMBER										
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Attorney Docket No.: 62945 (70820)



Application No. (if known): Not Yet Assigned

Certificate of Express Mailing Under 37 CFR 1.10

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Transmittal Letter to the United States Designated-Elected Office (2 pages)

Copy of the International application Oath or declaration of the inventor(s)

Preliminary Amendment

First Page of Int'l Application WO 2004/019099 A1 as published

International Search Report PCT/IB/301, 304, 308 Return Receipt Postcard Assignment document for recording Information Disclosure Statement IDS (Citation) by Applicant (4 References)

Charge \$1,240.00 to deposit account 04-1105